

07/20/01
J1131 U.S. PTO

07-23-01

A/RET

PATENT APPLICATION TRANSMITTAL
(only for nonprovisional applications under 37 C.F.R. 1.53(b))
Correspondence Address:
FROMMER LAWRENCE & HAUG LLP
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New York, New York 10151
Tel. (212) 588-0800

J1036 U.S. PTO
09/910288
07/20/01

Attorney Docket Number: 450100-3752.1
Date: July 20, 2001

TO: ASSISTANT COMMISSIONER FOR PATENTS
Box REISSUE PATENT APPLICATION
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for reissue of U.S. Patent No. 5,926,116 in the name of:

H. Kitano et al.

Entitled: **INFORMATION RETRIEVAL APPARATUS AND METHOD**

The following are enclosed:

- X Specification (7 pages) and one page of Abstract
- X 6 Claims (including 3 independent claims(s))
- X Our check for \$710.00 calculated as follows:

| | | |
|--|----|-------------|
| Basic Fee, \$ 710.00 (\$355.00)..... | \$ | 710.00 |
| Number of Claims in excess of 20 at \$18.00 (\$9.00) each:..... | \$ | 0.00 |
| Number of Independent Claims in excess of 3 at \$ 80.00 (\$40.00) each:..... | \$ | <u>0.00</u> |
| Multiple Dependent Claim Fee at \$270.00 (\$135.00)..... | \$ | 710.00 |
| Total Filing Fee..... | \$ | |
| Assignment Recording Fee \$40.00..... | \$ | |
- X Oath or Declaration and Power of Attorney
X New ___ signed X unsigned
___ Copy from a prior application (37 C.F.R. 1.63(d))
- X Request for Use of Original Drawings Pursuant to 37 C.F.R. 1.174(a) (7 pages)

Certified Copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration was filed in U.S. Patent No. 5,926,116:

| | | |
|------------------------|--------------|-----------|
| <u>Application No.</u> | <u>Filed</u> | <u>In</u> |
| 07-334445 | 12/22/95 | Japan |

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: *Gordon Kessler*
for: William J. Frommer
Reg. No. 25,506

09910288-072001

CERTIFICATE OF MAILING – SEPARATE PAPER

Attorney Docket No. : 450100-3752.1
Application to
Reissue Patent No. : 5,926,116
Issued : July 20, 1999
Patentees : H. Kitano et al.

Date of Deposit July 20, 2001 via "Express Mail" # EL 819162007 US

I hereby certify that an Application to Reissue Patent No. 5,926,116, along with Inventors' Declaration and Power of Attorney, Request for Use of Original Drawings, Offer to Surrender, Assent of Assignee, Statement Under 37 C.F.R. §3.73(b), Letter and Patent Application Transmittal have been deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

Edward Nay

(Typed or printed name of person mailing paper or fee)

Edward Nay

(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee : H. Kitano et al.

Application to
Reissue Patent No. : 5,926,116

Issued : July 20, 1999

Title: : **INFORMATION RETRIEVAL APPARATUS
AND METHOD**

745 Fifth Avenue
New York, NY 10151

LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are application papers for reissue of Letters Patent in the name of
H. Kitano et al., entitled "INFORMATION RETRIEVAL APPARATUS AND METHOD",
consisting of the following papers:

Specification (7 pages)

Abstract (1 page)

Claims (6, with 3 independent claims)

Declaration of Inventors

Request for Use of Original Drawings Pursuant to 37 C.F.R. 1.174(a)

7 Sheets of Drawings

Offer to Surrender

Assent of Assignee

Statement Under 37 C.F.R. §3.73(b)

09910228-072007

Check in the amount of \$710.00 for the filing fee of this reissue application.

Please charge any additional fees to Deposit Account No. 50-0320.

In accordance with 37 C.F.R. 1.53(b), a filing date of July 20, 2001 should be assigned to this application. A signed Declaration will be filed at a later date pursuant to 37 C.F.R. 1.53(d).

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By: *Gordon Kessler*
for: William S. Frommer
Reg. No. 25,506
(212) 588-0800

GORDON KESSLER #38,511

Enclosures

09910288-072001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee : H. Kitano et al.
Application to
Reissue Patent No. : 5,926,116
Issued : July 20, 1999
Title : **INFORMATION RETRIEVAL APPARATUS
AND METHOD**

745 Fifth Avenue
New York, NY 10151

REQUEST FOR USE OF ORIGINAL DRAWINGS
PURSUANT TO 37 C.F.R. 1.174 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.174 (a), a request for use of drawings is respectfully made as transfer of the original drawings to this reissue application is contemplated. A copy of the figures from the Patent No. 5,926,116 is attached. No changes whatsoever are to be made in the drawings.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

GORDON KESSLER #38,511
Gordon Kessler
By: *for:* William S. Frommer
Reg. No. 25,506
(212) 588-0800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee : H. Kitano et al.

Application to
Reissue Patent No. : 5,926,116

Issued : July 20, 1999

Title : **INFORMATION RETRIEVAL APPARATUS
AND METHOD**

745 Fifth Avenue
New York, NY 10151

STATEMENT UNDER 37 C.F.R. §3.73 (b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned, authorized to sign this Statement on behalf of the assignee, states that **SONY CORPORATION**, a corporation of Japan, is the assignee of the entire right, title and interest in the reissue patent application identified above by virtue of an assignment from the inventor.

The document is of record in the Patent and Trademark Office in connection with original application 08/770,040.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

Respectfully submitted,

SONY CORPORATION

By: _____

00021117-082000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : H. Kitano et al.

Application to
Reissue Patent No.: 5,926,116

Issued : July 20, 1999

For : **INFORMATION RETRIEVAL APPARATUS AND METHOD**

745 Fifth Avenue
New York, New York 10151

ASSENT OF ASSIGNEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned, authorized by the assignee, Sony Corporation, states that Sony Corporation, a corporation of Japan, is the assignee of the entire right, title and interest in the patent identified above by virtue of an assignment from the inventors of application Serial No. 08/770,040 which issued as U.S. Patent 5,926,116 on July 20, 1999, the present application being a reissue thereof. The assignment was recorded on April 22, 1997 at Reel 8484, Frame 0634.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

00021127

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee : H. Kitano et al.
Application to
Reissue Patent No. : 5,926,116
Issued : July 20, 1999
Title : **INFORMATION RETRIEVAL APPARATUS
AND METHOD**

745 Fifth Avenue
New York, NY 10151

OFFER TO SURRENDER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned, authorized by the assignee, Sony Corporation, hereby offers to
surrender the original U.S. Patent No. 5,926,116, upon the allowance of this application,
pursuant to 37 C.F.R. 1.178.

Respectfully submitted,

SONY CORPORATION

By: _____

Sony Corporation hereby assents to the filing of the above-identified application
to reissue U.S. Patent 5,926,116.

SONY CORPORATION

By _____

Date: _____

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